

NSDL CDSL	NSE BSE MCX'SX DSE NCDEX DGCX MCX NMCE NCDEX-SPOT IEX NSEL
SHARES	
COMMODITY	Clarkit Assignments Limited
TIN	ASSIGNMENTS LIMITED
E-RETURN	
DP	Develop A/a Opening Ferre
UID	Demat A/c Opening Form for Individual
RTA	with <b>NSDL</b>
PMS	With NODE
TPA	
HEALTH CARE	NAME:
INSURANCE	
IPO	ACCOUNT NO. :
INVESTMENT ADVISORY	
REGISTRAR	

### **Corporate Office**

"Alankit House, 4E/2,

Jhandewalan Extension, New Delhi-110055

Tel.: 91-11-42541234, 23541234,

Fax: 91-11-42541883-884 Website: www.alankit.com

### **Depository Division**

1E/13, Ground Floor, Jhandewalan Extension, New Delhi - 110055

Ph.: 91-11-42541795-98, 42541812-16

Regd. Office: 205-208, Anarkali Complex, Jhandewalan Extension, New Delhi 110 055

### Annedure JB INSTRUCTIONS/ CHECK LIST FOR FILLING KYC FORM

#### A. IMPORTANT POINTS:

- Self attested copy of PAN card is mandatory for all clients, including Promoters/ Partners/ Karta/ Trustees and whole time directors and persons authorized to deal in securities on behalf of company/ firm/ others.
- Copies of all the documents submitted by the applicant should be selfattested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- 3. If any proof of identity or address is in a foreign language, then translation into English is required.
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If correspondence & permanent address are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/ PIO Card/ OCI Card and overseas address proof is mandatory.
- 8. For foreign entities, CIN is optional; and in the absence of DIN no. For the directors, their passport copy should be given.
- 9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/ Mark sheet issued by Higher Secondary Board/ Passport of Minor/ Birth Certificate must be provided.
- 11. Politically Exposed Person (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ Military officers, senior executives of state owned corporations, important political party officials, etc.

#### B. Proof of Identity (POI): - List of documents admissible as proof of Identity

- Unique Identification Number (UID) Aadhaar/ Passport/ Voter ID card/ Driving license.
- 2. PAN card with photograph.
- Identity card/ document with applicant's Photo, issued by any of the following: Central/ State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and "Credit Cards/ Debit Cards issued by Banks.

### **C. Proof of Address (POA):** *List of documents admissible as proof of Address:* (\*Documents having an expiry date should be valid on the date of submission.)

- Passport/ Voter Identity Card/ Ration Card/ Unique Identification Number (UID) Aadhaar / Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/Insurance Copy.
- Utility bill like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
- 3. Bank Account Statement/ Passbook- Not more than 3 months old.
- Self-declaration by High Court and Supreme Court Judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/ Scheduled Co-Operative Bank/ Multinational Foreign Banks/ Gazetted Officer/ Notary public/ Elected representatives to the Legislative Assembly/ Parliament/ Documents issued by any Govt. or Statutory Authority.
- Identity card/ document with address, issued by any of the following: Central/State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., To their Members.
- 7. For FII/ sub-account, Power of Attorney given by FII/ sub-account to the Custodians (which are duly notarized and / or apostiled or consularised) that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted.
- D. Exemptions/ clarifications to PAN (\*Sufficient documentary evidence in support of such claims to be collected.)
- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- 2. Investors residing in the state of Sikkim.
- 3. UN entities/ multilateral agencies exempt from paying taxes/ filing tax returns in India.
- 4. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of

such verified PAN details to the intermediary.

#### E. List of People authorized to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ COoperative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.
- F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

non-marviduais, or	ver & above the POI & POA, as mentioned below:
Types of entity	Documentary requirements
Corporate	<ul> <li>Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/ Whole time director/ MD(to be submitted every year).</li> <li>Photograph, POI, POA, PAN and DIN numbers of whole time directors/ two directors in charge of day to day operations.</li> <li>Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.</li> <li>Copies of Memorandum and Articles of Association and certificate of incorporation.</li> <li>Copy of the Board Resolution for investment in securities market.</li> <li>Authorised signatories list with specimen signatures.</li> </ul>
Partnership firm	<ul> <li>Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>Certificate of registration (for registered partnership firms only).</li> <li>Copy of partnership deed.</li> <li>Authorised signatories list with specimen signatures.</li> <li>Photograph, POI, POA, PAN of Partners.</li> </ul>
Trust	<ul> <li>Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>Certificate of registration (for registered trust only)</li> <li>Copy of Trust deed.</li> <li>List of trustees certified by managing trustees/CA.</li> <li>Photograph, POI, POA, PAN of Trustees.</li> </ul>
HUF	<ul> <li>PAN of HUF.</li> <li>Deed of declaration of HUF/List of coparceners.</li> <li>Bank pass-Book/ Bank statement in the name of HUF.</li> <li>Photograph, POI, POA, PAN of Karta.</li> </ul>
Unincorporated Association or a Body of Individuals	Proof of Existence/ Constitution document. Resolution of the managing body & Power of Attorney granted to transact business on its behalf. Authorized signatories list with specimen signatures.
Banks/ Institutional Investors	<ul> <li>copy of the constitution/registration or annual report/ balance sheet for the last 2 financial years.</li> <li>Authorized signatories list with specimen signatures.</li> </ul>
Foreign Institutional Investors (FII)	<ul> <li>Copy of SEBI registration certificate.</li> <li>Authorized signatories list with specimen signatures.</li> </ul>
Army/ Government Bodies	<ul> <li>Self-certification on letterhead.</li> <li>Authorized signatories list with specimen signatures.</li> </ul>
Registered Society	<ul> <li>Copy of Registration Certificate under Societies Registration Act.</li> <li>List of Managing Committee members.</li> <li>Committee resolution for persons authorised to act as authorised signatories with specimen signatures.</li> <li>True copy of Society Rules and Bye Laws certified by the Chairman/ Secretary.</li> </ul>

#### **ANNEXURE - J**

PART I - KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals)

# Clarkit ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Extn., New Delhi-110055 Board :- 91-11-42541234 , 23541234 Extn:- 784 and 798, Direct .Tel. : 91-11- 42541798 ,42541784 DP-ID IN 300118 Fax:011-42541883-884, E-mail: dp@alankit.com, info@alankit.com Manager DP Operation Ms Asha Khanna (M) 9582200543, Email: ashak@alankit.com Phone Direct 011-42541899 201-203, K J City Tower, Ashok Marg 'C' Scheme, Jaipur-302001 Tel.: 0141-2374531-33, 4193311,14,15, Fa x : 0141-2374535, E-mail : alankitjpr@alankit.com Branch Incharge Mr Abhinav Sharma (M) 9672973670, E-mail: abhinavs@alankit.com Phone Direct 0141-4093302 Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha, Bareilly-243001 Tel.: 0581-2551161-64, 2551086-88, Tele/fax: 0581-2551165, E-mail: alankitbly@alankit.com Branch Incharge Mr.Ajay Agarwal (M) 9411218934, Email : ajayg@alankit.com Phone Direct 0581-2551164 Please fill in ENGLISH and in BLOCK LETTERS Please affix your recent A. IDENTITY DETAILS passport size photograph Name of the Applicant Father's / Husband's Name Signature across Photograph Single Male 3. a) Gender b) Marital Status c) Date of Birth Female Married Indian Resident Individual a) Nationality b) Status Others Non Resident (Please specify, Foreign National b) Aadhaar Number, if any a) PAN 5. **PAN Card** Specify the proof of 6. **Identity Submitted** Any other (Please specify: **B. ADDRESS DETAILS** Correspondence Address Residence Address Residence / Correspondence Address City / town / village PIN Code State Country Specify the proof of address submitted for Residence / Correspondence address Tel (Office) Tel (Res.) 3. Contact Details Mobile No. Fax No. F-mail ID Permanent Address (If different from above. Mandatory for Non-Resident Applicant to specify overseas Address) PIN Code City / town / village State Country

C. DE	CL	ARATION										
change	s the		rnished above are true ase any of the above inf									
<b>E</b>												
Signa	ture	of the Applicant						Date	D D M	I M Y	Y	Y
			ı	FOR OFF	ICE USE	ONLY						
Sr. No.	ı	Particulars										
1.		Originals verifie	d and Self-Attested Do	cument cop	oies received	i						
	In-l	Person-Verification (IP	V) Details:									
	A)	Name of the person	doing IPV									
	b)	Designation										
2.	c)	Name of Organization	on									
	d)	Signature										
	e)	Date							D D N	MY	Y	Y
		ignature of the d Signatory										
Date					D D M	MY	YYY	Se	eal/Stamp of	the Inter	mediaı	ry

# <u>ANNEXURE - J</u> PART II - ACCOUNT OPENING FORM (For Individuals)

1E/13 Board Fax:0 Mana 201-2 Tel.: 0 Brand	ASSIGNMENTS  13, Ground Floor, Jhandewalan Extn., New Delhi-110055 rd: 91-11-42541234, 23541234 Extn:-784 and 798, Direct .Tel.: 1011-42541883-884, E-mail: dp@alankit.com, info@alankit.com larger DP Operation Ms Asha Khanna (M) 9582200543, Email: ash 1203, K J City Tower, Ashok Marg 'C' Scheme, Jaipur-302001 10141-2374531-33, 4193311,14,15, Fa x: 0141-2374535, E-mail: abhina 1 Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha, Bare 10581-2551161-64, 2551086-88, Tele/fax: 0581-2551165, E-mail:					Tel. : 91- m : ashak@ <b>1</b> mail : alar bhinavs@	DP-ID IN 300118  91-11- 42541798 ,42541784  k@alankit.com Phone Direct 011-42541899  DP-ID IN 301160  alankitjpr@alankit.com s@alankit.com Phone Direct 0141-4093302					Serial No.  Receipt No.:															
Tel.: 0 Brand		1-64, 2 1r.Ajay	551086-8 Agarwal (	8, Tele (M) 94	e/fax : 0581 11218934,	-2551 Email	165, E-n : ajayg@	nail : alan Dalankit.d	nkitbly( com P	@alankit.c	om ct 058	1-2551	164		_	tails			Т	(To	be fill	ed by	y parti	cipant)			
	lease fill a									ai Hairie		Jei ti	ie iolic	, will ig	, ac	lans		ate									
A)	Details	of Ac	count	holde	er(s):																						
	Account Holder			Sole	/ First I	Hold	er				Se	conc	Hold	er						7	Third	Но	lder				
	Name		$\overline{}$			Т		$\overline{}$			$\top$			_	Т	-	+	$\top$	$\top$	$\top$	$\top$	$\top$	T	П			
	PAN Occupa-					<u> </u>			<del>                                     </del>	<u>                                     </u>	<u> </u>								<u> </u>				<u> </u>				
	Tion (Please		Privat	te Sec	tor	A	gricultur	rist		Priva	ate Se	ctor		Agric	ultu	rist			Pri	vate S	Sector			Agricul	turist		
	Tick any One and	L	Publi	c Sect	or	R	etired			Pub	lic Sec	ctor		Retire	ed				Pu	blic S	ector			Retired			
	Give Brief		Gove Servi	rnmer ce	nt	Н	ousewif	е		Gov Serv	ernme vice	ent		Hous	ewit	e				vernr rvice	ment	Housewife					
	Details)		Busir	ness		S	tudent			Busi	iness			Stude	ent	Business Stu						Studen	t				
			Profe	ssiona	al		ther (Ple pecify;	ease		Prof	ession	nal		Other Spec	•	ease		Professional Other (Pleas Specify;									
	Brief Details																										
В)	For HUF natural p Mention	erso	n, the na																					name	of the		
	a) Name	Э											b) P	AN													
C)	Type of	Acco	ount										1														
	Oı	rdina	ry Resid	dent					NRI	l-Repatri	able								NF	RI-No	on Re	epat	riable				
			ed Fore	ign Ir	nvestor				For	eign Na	tional	l							Pr	omo	ter						
		argin			<b>-</b>			<u> </u>	Oth	ers (Ple	ase s	peci	y)														
D)					Details						—																
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		10-2	5 Lac					More	than	₹ 25 lac	÷																
E)	In case	of NI	RIs/ Fo	reigr	n Nation	als																					
	RBI App	roval	Refere	nce l	Number																						
	RBI App	roval	date																	Τ							
																									-		

F)	Bank Details													
	1.	Bank Account Type	Saving Accoun	t Current	Account		Others	(Pleas	se spe	cify)				_
	2.	Bank Account Number												
	3.	Bank Name												
	4.	Branch Address												
													ı	
			City/town/village			_	PIN C	ode						
			State			$\square$	Count	try			ı			
	5.	MICR Code												
	6.	IFSC												
G)	Plea	ase tick, if applicable:	Politically Exp	osed Person (PEP)	) Re	lated	to a Pol	liticall	у Ехр	osed Pe	erson	(PEP)		
H)	Sta	nding Instructions												
	1.	I/We authorise you to red	ceive credits automa	atically into my/our	account					Yes		N	0	
	2.	Account to be operated	through Power of At	torney (PoA)						Yes		N	0	
	3. SMS Alert facility [Mandatory if you are giving Power of Attorney (PoA). Ensure that the mobilenumber is provided in the KYC Application Form]													
	Sr. No. Holder Yes No													
		1.	Sole/First Holder											
		2.	Second I	Second Holder										
		3.	Third Hol	der										
	4.	Mode of receiving Statement of Account	Physical	Form										
	_	(Tick any one)		C Form [Read Note 4	and ensur that	email	ID is pro	vided i	in KYC	Applica	ion Fo	m]		
I) 		ardian Details (where sole account of a minor, two KYC A		be filled i.e. One for t	the guardian and	d ano	ther for th	e mino	or (to b	e signed	by Gu	ardian)	]	
	Gua	ardian Name												
	PAN	I												
	Rela	ationship of guardian with r	minor											
I)	Nor	mination Option												
		[As per details given below]  I/We wish to make a nomination [Strike off the nomination details below]												
	Nor	nination Details												
		e wish to make a nominatio urities held in the Deposito											espec	t of
	1.	Name of the Nominee (N	/Ir./Ms.)											
	2.	Relationship with the Ap	plicant (if any)											
	3.	Address of Nominee												

					City / town / v	village								PIN (	Code						
					State									Cour	ntry						
	4.	Contac	t Details	s of	Tel (Office)		1							Tel (F	Res.)						
		NOTHIN	CC		Fax No.									Mobi	le No.						
					E-mail ID																
	5.	Nomin	ee Ider	ntification	details (please	e tick an	y one fro	m (a)	to (f)	and	prov	ide	detai	ls of	the sa	me)					
				Photogr	aph						Sic	gnati	ure								
	(a)		(i)	(Signature of nominee across photograph)				(ii	)				f nom	inee							
	(b)		PAN o	of Nomine	е																
	(c)		Aadha	aar numbe	er of nominee																
	(d)				count number o									Bank	Acco	unt Nı	ımbei	1			
	(e)		By orig	ginal for veri	oof of identity do ification or duly att locuments, as pro	tested by	any entity a	uthoriz	zed												
	<b>(£</b> )		Dom	nt 0000 unt	details of nomi					DP ID	)										
	(f)		Dem	at account	details of Horni	nee			Ī	Clien	t ID										
	Nr.	Nos. 6-1	1 shou	ld be filled	d only if nomin	ee is a r	minor:														
	6.	Date o	f Birth (	in case of	minor nominee	·)									D	D	M	/I Y	Y	Υ	Υ
	7.	Name (In cas	of the G	Guardian(N nor nomin	Лг./Ms.) ee)																
	8.	Addre	ss of Gu	ıardian																	
					City / town / v	village								PIN (	Code						
					State									Cour	ntry		'	•			'
П	9.	Contac	ct Detail	s of	Tel (Office)		•							Tel (F	Res.)						
		Guardian Fax No.								Mobi	le No.										
					E-mail ID																
	10.	Relatio	onship c	of guardiar	with nominee	nominee															
$\overline{}$																					

				Photograph		-		Sigr	nature								
	(a)		(i)		Photograph of Guardian (Signature of Guardian across photograph)	(	ii)	Sigr	nature	of Gua	rdian						
	(b)		PAN c	of Guardian													
	(c)		Aadha	aar number of	guardian												
	(d)			ving bank account number of guardian, maintained with the same Participant  Bank Account Number													
	(e)		By orig	ginal for verificati	f any proof of identity document (accompanied nal for verification or duly attested by any entity authorized ting the documents, as provided in annexure JB)												
	(f)		Dema	at account det	ails of guardian		DP II										
		l			Declar	atio	n										
for fur of mi ac	ce nund nishany any slead cour knov	ow have by the ed about chang ding on the one of the on	ve been rules are the rules the rule	en read by as are in true and co erein, imme epresenting declare that eceipt of o	ne Depository and Deposit me/us and I/we have under force from time to time for correct to the best of my/our ediately. In case any of the g, I am/ we are aware that at I/we have complied and copy of the document, "I	ersto or su kno e ab at I/v I will	od the char where over the contract of the con	ne sa ccou lge ar infor nay b tinue	me a nts. I nd be matic e hel to co	nd I/w /we h lief an on is f d liab omply	ve ag ereb id I/w found ole fo with	ree to y deed to ke under to ke to k	o ab clare derta be fa n ca //A re	ide l e tha ake t alse ase r egul	by a at the o inf or u non- ation	nd to e de form intru resid ns. I	o be tails you e or dent / we
					lame(s) of holder(s)						S	ignatı	ure(s	) of h	olde	r	
(In		st Holder sole hol )															
	cond :/ Ms.	Holder )								<b>E</b>							
	rd Ho : / Ms									<b>E</b>							

#### Notes:

- 1. All communication shall be sent at the address of the Sole/First holder only.
- 2. Thumb impressions and signatures other than English or Hindi or any of the other not contained to the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- 3. Instructions related to nomination, are as below:
  - I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly

- or jointly Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
- II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir.
- VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- VII.On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour Of the Nominee.
- 4. For receiving Statement of Account in electronic form:
  - I. Client must ensure the confidentiality of the password of the email account.
  - II. Client must promptly inform the Participant of the email address has changed.
  - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
- 5. Strike off whichever is not applicable.

\_\_\_\_\_\_

### Acknowledgement

### Clarkit Assignments Limited

DELHI - DP-ID IN 300118: 1E/13, Ground Floor, Jhandewalan Ex tn., New Delhi-110055
JAIPUR - DP-ID IN 301160: 201-203, K J City Tower, Ashok Marg 'C' Scheme, Jaipur-302001
BAREILLY - DP-ID IN 301186: Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha, Bareilly-243001

Received the application from Mr./Ms	as the sole/first holder alongwith
And	as the second and third holders respectively fo
opening of a depository account. Please quote the DPID & Client I	ID allotted to you in all your future correspondence.

Date:	D	D	M	M	Υ	Υ	Υ	Υ

Participant Stamp & Signature

#### **ANNEXURE - A**

#### FEMA DECLARATION CUM DECLATION OF NRI STATUS BY NON RESIDENT

Clankit ASSIGNMENTS LTD.	

a	Lankit ASSIGNMENTS LT	D.	Dated :
1.	PASSPORT DETAILS		
	• Number	• Date of Issue	• Date of Expiry
	Place of Issue	Issuing Authority	
2.	NATIONALITY	3.COUNTRY OF ORIGIN	
4.			
5.			
	I declare that I am a Non-Resident	Indian residing at	(City / Country of stay) and
			y) for the purpose of Foreign Exchange Regulation Act 1973/
	Foreign Exchange Management A residency or other status.	ct 2000 and shall immediately inform you in the	ne event of any change in my address, citizenship and /or
	I/We would confirm that all debits to by general or special permission of		in India and credits of investments in India are covered either
	I will ensure that investments in sha	res / securities or in India out of funds held in my /	our account with you are governed by respective regulations
	of Reserve Bank of India.		
	I/We also declare that I/We have co	nplied and will continue to comply with FEMA req	gulations.
	Applicant signature :		
	Encl. : Photocopy of Passport (Co	untersigned by applicant)	

### CHECKLIST (NRI) - HOLDING STATUS

#### In case already holding securities :

- (a) In the account opening form, RBI reference no. and approval date is to be quoted. Photocopy of the same be provided.
- (b) In case NRI has never acquired any holding or securities from secondary market in the relevant column he should write
  - (i) In the column of Reference No.: "No holdings" (ii) In the column of Approval Date : "Present Date"
- (c) In case NRI has acquired securities through allotment in primary market i.e. direct investment scheme the necessary approval would have been obtained by the issuer company, under such cases he should write
  - (i) In the column of RBI Reference No.: "Under General Permission" (ii) In the column of RBI Approval Date: "Present Date"

## ANNEXURE - B

Name of Minor Co-parceners

1.

3.

4.

		Declarati	ion of HUF s	tatus	
Cla	nkit ASSIGNMENTS LTD.				Dated :
As I/W	e wish to open a DP account with you in m	ny capacity as karta o	of HUF of		I/we beg to say that
the firs	t signatory to this letter , i.e		is the	karta of the joint Family and oth	ner signatories are the adult co-
parcen	ers of said family.				
In view I/We he	benefit of entire body of co-parcener of the of the fact that ours is not firm governed by ereby undertake to inform you the death of the currency of the account.	y the Indian Partners	•		
	Name of Karta				nture(s)
	Name of Adult Co-parceners/ Member	Date of Birth	Sex	Relationship with Karta	Signature
1.			M/F		
2.			M/F		
3			M/F		

M/F

Sex

M/F M/F

M/F

 $\mathbf{M}/\mathbf{F}$ 

Relationship with Karta

Signature

### Option to receive Annual Reports, AGM notice and other communication from issuer in physical form

Date of Birth

Date   D   M   M   Y   Y   Y   Y   Y   Y   Y   Y								Υ				
DP ID		- 1	N									
Client ID												
Sole / First	Holder Name											
Second Ho	older Name											
Third Holde	er Name											
	(Pleasee tick any one)											
	I/ We hereby inform you that I/ we wish to receive financial statements (i.e. Annual reports), AGM notice and other communications from issuers in physical form.								эr			
I/We hereby inform you that I/We had earlier opted to receive the financial statements (i.e. Annual reports), AGM notice and other communications from issuers in physical form and now intend to remove the said option so that I/we can receive the same in electronic form.												
1.	2.				3.	E)						
Authorised Signatory(ies)												

# Clankit Assignments Limited

1E/13, Ground Floor, Jhandewalan Extn., **New Delhi-110055**Board :- 91-11-42541234 , 23541234 Extn:- 784 and 798, Direct .Tel. : 91-11- 42541798 ,42541784

Fax:011-42541883-884, E-mail: dp@alankit.com, info@alankit.com

Manager DP Operation Ms Asha Khanna (M) 9582200543, Email: ashak@alankit.com Phone Direct 011-42541899 201-203, K J City Tower, Ashok Marg 'C' Scheme, Jaipur-302001

Tel.: 0141-2374531-33, 4193311,14,15, Fa x: 0141-2374535, E-mail: alankitjpr@alankit.com

Branch Incharge Mr Abhinav Sharma (M) 9672973670, E-mail: abhinavs@alankit.com Phone Direct 0141-4093302

Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha, Bareilly-243001

Tel.: 0581-2551161-64, 2551086-88, Tele/fax: 0581-2551165, E-mail: alankitbly@alankit.com

**DP-ID IN 300118** 

DP-ID IN 301160

**DP-ID IN 301186** 

Branch Incharge Mr.Ajay Agarwal (M) 9 SCHEDULE 'A'	411218934, Emai	, , , , ,	com Phone Direc <b>lo</b>			
OONEDOLE A	SCHEME - A		SCHEME - C	SCHEME - 'AA'		
Account Maintenance	₹ 260/-	₹ 360/-	₹ 750/-	Scheme applicable to Alankit Trading Clients Only		
(Per Annum)				A. NIL - ONLY FOR CLIENT WHO ACTIVELY TRADE THROUGH AAL (i.e. Alankit Assignments Ltd.)  B. Facility of NIL as (a) above only available for clients actively trading through AAL. Clients whose trading accounts are inactive / dormant, shall maintain minimum cash margin of ₹ 5000/- with AAL at all times otherwise normal AMC of ₹ 260/- as applicable for individual accounts shall be levied. For corporate / trust and NRI accounts this amount shall be ₹ 1000/- p.a.		
	a. * For IDEAS i	users ₹20/- per ar	num extra b. * fo	s availing Speed-e facility of NSDL for debit instruction through nternet:- r smart card user ₹ 520/- per annum extra (inclusive of IDEAS facility) vation of account		
Trading account opening Charges / Documentation charges for Speed-e facility and Internet trading		N.A.	₹ 500/-			
	SCHEME - A	SCHEME - B	SCHEME - C	SCHEME - 'A A'		
Off Market / Inter- depository Delivery	₹16/- per debit instruction	₹15/- per debit instruction	₹14/- per debit instruction	₹ 20/- per debit instruction		
Market Delivery	₹16/- per debit instruction	₹15/- per debit instruction	₹14/- per debit instruction	₹ 11/- per debit instruction for client trading with Alankit Assignments Ltd. And ₹ 20/- per debit instruction for client trading with other brokers		
Concessional charges for debit Instruction put through speed-e Facility of NSDL	₹14/- per debit instruction	₹13/- per debit instruction	₹12/- per debit instruction	₹ 9/- per debit instruction for client trading with Alankit Assignments Ltd. And ₹18/- per debit instruction for client trading with other brokers		
NSDL KRA Upload/Download Charges	Actual charg	es levied by NS	DL			
Rejection Charges	₹ 20/- per ins	truction		₹ 5/- per debit instruction		
Creation of pledge	₹ 50/- per ins	truction (Charg	able from the ple	edger)		
Closure/Invocation/Confirmation of Pledge	₹ 25/- per ins	truction				
Securities Borrowing	₹ 40/- per instruction					
Dematerialization	₹ 20/- plus ₹ 3	3.00 Per Certifica	ate Subject to M	aximum of ₹ 250/-		
Repurchase of Mutual Fund Units	₹ 20/- per cer	tificate				
Rematerialisation/repurchase	A. ₹ 20/- for every hundred securities viz. Shares / debentures / bonds etc. Or part thereof. Or  B. ₹ 20/-Per Certificate. Whichever is higher					
Delivery Instruction Book Charges	₹ 50/- per bo	ok				

- \*For instruction value ₹5 Lac to 1 Crore transaction charges will be charged ₹80/- per instruction
- \* For instruction value above ₹ 1 Crore transaction charges will be charged ₹ 500/- per instruction.
- o Annual charges will be levied w.e.f. 1st day of the quarter in which account is opened.
- In case of account closure the AMC shall be levied/collected up to the quarter in which the account is closed. The AMC for balance quarters shall be refunded.
- Non-execution of delivery instruction due to any problem/error-courier charges ₹20/- per communication/dispatch.
- Modifications in client master ₹25/- per instance.
- Charges for delivery instructions accepted at client's sole risk beyond NSDL deadline, ₹20/- per delivery slip, accepted solely at Client's Risk.
- Non-periodic statement and other communications shall be charged @₹5/- per page and postage/courier charges @₹30/- per mail. 0
- In case Bank mandate for debit through ECS is not given, minimum credit balance of ₹500/- shall be maintained as advance towards future charges. 0
- In case of foreign correspondence address, in addition to annual account maintenance charges, statement/communication charges @₹ 50/- per mail shall be charged extra.
- In case of non-payment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be ₹100/-
- In case of any upward revision in schedule of charges, 30 days notice would be given by publication in newspaper/post.
- In case of demat rejection postage shall be charged @₹35/- for dispatch to the client for removal of objection.
- Any service not listed above will be charged for extra.
- Taxes and other government levies extra as applicable from time to time.

Clankit ASSIGNMENTS LIMITED			
	<b>E</b>		<b>E</b>
Authorised Signatory	First Holder	Second Holder	Third Holder

# Clankit Assignments Limited

1E/13, Ground Floor, Jhandewalan Extn., New Delhi-110055

Board :- 91-11-42541234 , 23541234 Extn:- 784 and 798, Direct .Tel. : 91-11- 42541798 ,42541784

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Branch Incharge Mr Abhinav Sharma (M) 9672973670, E-mail: abhinavs@alankit.com Phone Direct 0141-4093302

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Tel.: 0581-2551161-64, 2551086-88, Tele/fax: 0581-2551165, E-mail: alankitbly@alankit.com

Branch Incharge Mr.Ajay Agarwal (M) 9411218934, Email: ajayg@alankit.com Phone Direct 0581-2551164

DP-ID IN 301160

**DP-ID IN 300118** 

**DP-ID IN 301186** 

Date: 11/07/2013

Schedule "A"	Scheme - BSDA Account Number
Account Maintenance (Per Annum)	<ul> <li>a. No annual Maintenance Charges (AMC) shall be levied, if the value of holding is upto ₹ 50,000/-</li> <li>b. For the value of holding from ₹ 50,001 to ₹ 2,00,000, ₹ 100 shall be charged.</li> <li>c. If value of holding exceeds. ₹ 2 Lacs from that day onwards Normal AMC @ ₹ 200/- P.A. As applicable to scheme "A" shall be charged. The value of holding shall be determined on the basis Of guidelines in SEBI circulars.</li> </ul>
	Annual account maintenance charges for clients availing Speed-e facility of NSDL for debit instruction through Internet :  * For IDEAS users ₹ 20/- per annum extra.
Transaction Charges	<ul> <li>a. ₹ 16/- per debit instruction</li> <li>b. Concessional charges for debit instruction put through speed-e Facility of NSDL ₹ 14/- per debit instruction.</li> </ul>
NSDL KRA Upload / Download Charges	Actual charges levied by NSDL
Rejection Charges	₹ 5/- per debit instruction
Creation of Pledge	₹ 50/- per instruction (chargeable from the pledgor)
Closure/Invocation/ confirmation of pledge	₹ 25/- per instruction
Securities Borrowing	₹ 40/- per instruction
Dematerialization	₹ 20/- plus ₹ 3/- per icertificate subject to Maximum of ₹ 250/-
Rematerialisation	<ul> <li>A. ₹20/- for every hundred securities viz. Shares / debentures / bonds etc. Or part thereof.         Or         ₹20/- per certificate. Whichever is higher</li> <li>B. In case of DP Commodity account ₹75/- per request.</li> <li>However, no rematerialisation fee shall be charged for Government Securities.</li> </ul>
Repurchase / redemption	₹20/- per certificate
Delivery Instruction Book Charges	2 Delivery Slips at the time of opening of new Account free of cost subsequent deliver books of 20 leaves each, ₹ 50/- per book.

- o In case of account closure the AMC shall be levied / collected up to the quarter in which the account is closed. The AMC for balance quarters shall be refunded.
- o All BOs opting for the facility of BSDA, shall register their mobile number for availing the SMS alert facility for debit transaction.
- o Non-execution of delivery instruction due to any problem/error-courier charges ₹20/- per communication/dispatch.
- o Modifications in client master ₹25/- per instance.
- o Charges for delivery instructions accepted at client's sole risk beyond NSDL deadline, ₹ 20/- per delivery slip, Delivery instruction slip must be received at Jhandewalan, New Delhi, Head Office / Jaipur / Bareilly branches (i.e. Where client accounts are being maintained) within NSDL stipulated deadline.
- o Additional physical statement beyond 2 statement per billing cycle shall be chgarged @₹25/- per Statement.
- o In case Bank mandate for debit through ECS is not given, minimum credit balance of ₹500/- shall be maintained as advance towards future charges.
- o In case of foreign correspondence address, in addition to annual account maintenance charges, statement / communication charges @₹50/- per mail shall be charged extra.
- o In case of non-payment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be ₹100/-
- o In case of any upward revision in schedule of charges, 30 days notice would be given by publication in newspaper/post.
- o In case of demat rejection postage shall be charged @₹30/- for dispatch to the client for removal of objection.
- o Any service not listed above will be charged for extra.
- o Taxes and other government levies extra as applicable from time to time.
- o At any time when accounts is qualified as NON BSDA account maintaince charges as per Annex. Charge Schedule "A" shall be applicable.

Please place my / our account ur	nder Scheme	·	
Clankit ASSIGNMENTS LIN	MITED		
Authorised Signatory	First Holder	Second Holder	 Third Holder

# Lankit Assignments Limited

1E/13, Ground Floor, Jhandewalan Extn., New Delhi-110055 Board: 91-11-42541234, 23541234 Extn: 784 and 798, Direct. Tel.: 91-11- 42541798, 42541784

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Manager DP Operation Ms Asha Khanna (M) 9582200543, Email: ashak@alankit.com Phone Direct 011-42541899 201-203, K J City Tower, Ashok Marg 'C' Scheme, Jaipur-302001

 $\label{eq:tensor} \textit{Tel.: } 0141\text{-}2374531\text{-}33, \, 4193311,14,15}, \;\; \textit{Fa} \; x : 0141\text{-}2374535, \; \textit{E-mail: alankitjpr@alankit.com}$ 

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Branch Incharge Mr.Ajay Agarwal (M) 9411218934, Email: ajayg@alankit.com Phone Direct 0581-2551164

**DP-ID IN 300118** 

DP-ID IN 301160

**DP-ID IN 301186** 

#### (For New Accounts) Option for opening Basic Services Demat Account (BSDA)

Date				
------	--	--	--	--

With reference to my / our application for opening a depository account, I/ We request you to open my / our depository accounts as a BSDA as per the following details:

	Name			PA	·Ν		
Sole / First Holder							
Second Holder							
Third Holder							

Please register Mobile No.	in my Demat account and update the SMS flags
r icase register Mobile No.	in this Demai account and update the Sisis hads

I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA. I/We hereby declare that I/We am / are eligible to open a depository account as a BSDA holder and undertake to comply with the requirements specified by Securities and Exchange Board of India (SEBI) or any such authority for such facility from time to time. I/We also understand that in case I/ we at any point of time do not meet the elibility as a BSDA holder, my /our aforesaid account is liable to be converted to regular account.

Signature(s) of Account Holder(s)

#### (For New Accounts) Standing Instruction for Auto Pledge Confirmation by Pledgee

To.

# ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Extn., New Delhi-110055 Board: 91-11-42541234, 23541234 Extn: 784 and 798, Direct. Tel.: 91-11-42541798, 42541784

201-203, K J City Tower, Ashok Marg 'C' Scheme, Jaipur-302001

Tel.: 0141-4139311-13-14-15, Fa x : 0141-2374535, E-mail : alankitjpr@alank t.com Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha, Bareilly-243001

Tel.: 0581-2551161-64, 2551086-88, Tele/fax: 0581-2551165, E-mail: alankitbly@alankit.com

**DP-ID IN 300118** 

**DP-ID IN 301160** 

**DP-ID IN 301186** 

Date				

With reference to my / our application for opening a depository account, I/We request you to enable my / our depository account having DP ID & Client ID with Flag "Standing Instruction for Auto Pledge Confirmation".

I/ We have read and understood the Securities and Exchange Board of India's guide lines on pledging of shares in dematerialised form as regulated bny SEBI (Depositories and Participants) Regulation, 1996 and Depositories Act, 1996.

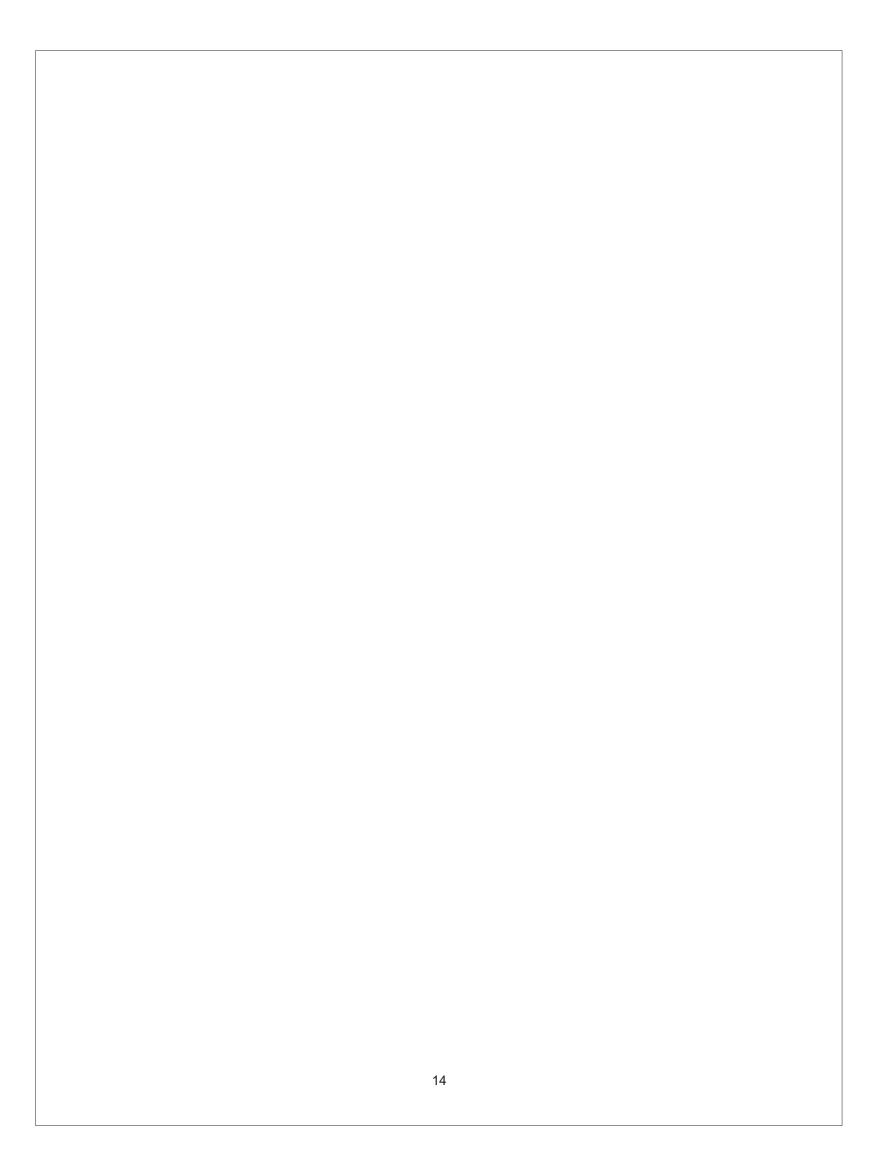
1	2.	3.	
	Signature(s) of A	ccount Holder(s)	

#### FORM A

#### [See Paragraph 5(b)]

Declaration to be submitted by the Investor to the depository participants for availing the benefits under the Rajiv Gandhi Equity Savings Scheme.

	ne of the st Hold	ne Investor : Ier)
Add	ress o	f the Investor :
Peri	nanen	t Account Number (PAN) :
1.	It is h	ereby certified that* –
	(a)	I do not have a demat account and I have not traded in any derivatives.
	(b)	I have demat account no In
	(c)	I have a joint demat account noInInIn
2.	Ihere	eby declare that I have read and understood all the terms and conditions of the Rajiv Gandhi Equity Savings Scheme.
3.	It is h Sche	hereby verified that I am an eligible new retail investor for availing the benefits under the Rajiv Gandhi Equity Savings are.
4.		ertake to abide by all the requirements and fulfill all obligations under the Scheme, and will comply with all the terms conditions of the Scheme.
5.		erstand that, in case I fail to comply with any condition specified in the Scheme, the benefits availed there under will be Irawn and the tax shall be payable by me accordingly.
Plac		Signature of the Investor
riac	⊌.	
Date	e:	



EBITING THE ACCOUNT	N TO BANK FOR DEE			Serial No
		To,	NMENTS LIMITED	Clankit Assignme
		The Branch Manager		DEPOSITORY DIV
				ELECTRONIC CLEARING SERVICE (DEBIT 1. D.P. A/c. No.
		Dear Sir,		2. Name :
residing a		,		3. Bank Name :
				a) Branch Name :
ount No.	Accour	maintain a		,
		with your branch.		b) 9-Digit MICR Code*:
all types of commission / fee harged by Alankit Assignment to my bank account with you be maintained by me/us, so the ges is not impaired. I/We hereby to the written approval from the	ayable by me / us and cha (Debit Clearing) facility to ufficient balances shall be in debit the Service Charges	('Service Charges') payab Ltd. through the ECS (De I/We undertake the sufficie the right of the Bank to deb	ued by the Bank (Please attach the	Cash Credit (Code D) Ledger No./Folio No Account No *Appearing on the MICR Cheque issued by
) this (do)	(Place)	Bank. SIGNED at	oer) ven above are correct and complete. If ted at all for reasons of incomplete or	Photocopy of a Cheque or a blank cancelled c verifying the accuracy of the Code Number) I, hereby, declare that the particulars given abo the transaction is delayed or not effected at a
				incorrect information. I would not hold the user i agree to discharge the responsibility expected on scheme.
), (Yea	(month), _	of		
	Name	Signature	Signature of the applicant(s)	Date : Signatur  BANK CERTIFICATION (Not required if photoco
	Name	Signature		Certified that the particulars furnished above are
	Nama	Signature		Bank's Stamp :
		Signature		
the mandate form)	oth the holder must sign th	account both the	nature of the authorised bank official(Please	·
the mandate form)	oth the holder must sign th	account both the	(Please	Serial No  Clankit Assignme
the mandate form)	oth the holder must sign th	account both the ar Here)	*NMENTS LIMITED	Serial No
the mandate form)	oth the holder must sign th	account both the ar Here)	*NMENTS LIMITED	Serial No  Clankit ASSIGNME  DEPOSITORY DIV  ELECTRONIC CLEARING SERVICE (DEBIT
EBITING THE ACCOUN	oth the holder must sign the h	account both the ar Here)	*NMENTS LIMITED	Serial No  Clankit Assignme  DEPOSITORY DIV  ELECTRONIC CLEARING SERVICE (DEBIT  1. D.P. A/c. No.
EBITING THE ACCOUN	oth the holder must sign the	account both the ar Here)	*NMENTS LIMITED	Serial No  Clarkit ASSIGNME  DEPOSITORY DIV  ELECTRONIC CLEARING SERVICE (DEBIT  1. D.P. A/c. No.  2. Name :
EBITING THE ACCOUN	oth the holder must sign the	account both the ar Here)	*NMENTS LIMITED	Serial No  Clarkit ASSIGNME  DEPOSITORY DIV  ELECTRONIC CLEARING SERVICE (DEBIT  1. D.P. A/c. No.  2. Name:  3. Bank Name:
residing and the mandate form)  FBITING THE ACCOUN  residing and the mandate form)	Accourted the Bank to debit all ayable by me / us and cha (Debit Clearing) facility to ufficient balances shall be r	account both the ar Here)	CHEASE TO THE COORD TO THE COOR	Serial No
residing :  punt No	Accourted the Bank to debit all ayable by me / us and cha (Debit Clearing) facility to debit the Service Charges	account both the ear Here)	change of the second of the collection of the co	DEPOSITORY DIV ELECTRONIC CLEARING SERVICE (DEBIT  I. D.P. A/c. No.  I. Name:  I. Bank Name:  I. Bank Name:  I. Bank Name:  II. D.P. A/c. No.  III. D.P. A/c. (Code 10)  III. Cash Credit (Code 10)  III. Cash
residing a residence of commission / fee harged by Alankit Assignment to my bank account with you remaintained by me/us, so that residence is not impaired. I/We hereby the written approval from the residence of the reside	Accour_ Accour_	account both the ear Here)	CHEASE COMENTS LIMITED  RY DIVISION (DEBIT CLEARING)MANDATE FORM  The complete of the complete	Serial No
residing a	Accour  and the Bank to debit all ayable by me / us and cha (Debit Clearing) facility to debit the Service Charges oke this authority without the content of the content balances of the service Charges oke this authority without the content balances of the content b	account both the par Here)	CHEASE CONTROLLING (Please of the called cheque Issued by your bank for cher) yen above are correct and complete. If ted at all for reasons of incomplete or e user institution responsible. I hereby proceed of me as a participant under the	Serial No
residing a	Accour  and the Bank to debit all ayable by me / us and cha (Debit Clearing) facility to debit the Service Charges oke this authority without the content of the content balances of the service Charges oke this authority without the content balances of the content b	account both the par Here)	chiments Limited  RY DIVISION (DEBIT CLEARING)MANDATE FORM  The control of the limit of the control of the cont	Serial No
,	Accour	account both the part Here)	CHEASE COMMENTS LIMITED  RY DIVISION (DEBIT CLEARING)MANDATE FORM  The comment of	Serial No
residing a resident to my bank account with you remaintained by me/us, so that resident is not impaired. I/We hereby the written approval from the resident in		account both the ear Here)	CHEASE COMMENTS LIMITED  RY DIVISION (DEBIT CLEARING)MANDATE FORM  The comment of	Serial No



